

## Shareholding Pattern B.N.RATHI SECURITIES LTD.

Scrip Code : 523019  
Date Begin : 01 Jul 2013

Quarter Ending : September 2013  
Date End : 30 Sep 2013

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of warrant	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Paid-up capital of the company assuming full conversion of warrants and convertible securities</b>	<b>2520000</b>		

Category of Shareholder	No. of Shareholders	Total No. of Shares	Total No. of Shares held in Dematerialized Form	Total Shareholding as a % of Total No. of Shares		Shares pledged or otherwise encumbered	
				As a % of (A+B)	As a % of (A+B+C)	Number of shares	As a % of Total No. of Shares
<b>(A) Shareholding of Promoter and Promoter Group</b>							
<b>(1) Indian</b>							
Individuals / Hindu Undivided Family	4	1068583	1068583	42.40	42.40	0	0.00
<b>Sub Total</b>	<b>4</b>	<b>1068583</b>	<b>1068583</b>	<b>42.40</b>	<b>42.40</b>	<b>0</b>	<b>0.00</b>
<b>(2) Foreign</b>							
<b>Total shareholding of Promoter and Promoter Group (A)</b>	<b>4</b>	<b>1068583</b>	<b>1068583</b>	<b>42.40</b>	<b>42.40</b>	<b>0</b>	<b>0.00</b>
<b>(B) Public Shareholding</b>							
<b>(1) Institutions</b>							
<b>(2) Non-Institutions</b>							
Bodies Corporate	39	209862	208312	8.33	8.33	0	0.00
<b>Individuals</b>							
Individual shareholders holding nominal share capital up to Rs. 1 lakh	3416	685287	319009	27.19	27.19	0	0.00
Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	15	551286	504436	21.88	21.88	0	0.00
<b>Any Others (Specify)</b>	<b>7</b>	<b>4982</b>	<b>4982</b>	<b>0.20</b>	<b>0.20</b>	<b>0</b>	<b>0.00</b>
Non Resident Indians	6	4972	4972	0.20	0.20	0	0.00
Clearing Members	1	10	10	0.00	0.00	0	0.00
<b>Sub Total</b>	<b>3477</b>	<b>1451417</b>	<b>1036739</b>	<b>57.60</b>	<b>57.60</b>	<b>0</b>	<b>0.00</b>
<b>Total Public shareholding (B)</b>	<b>3477</b>	<b>1451417</b>	<b>1036739</b>	<b>57.60</b>	<b>57.60</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)</b>	<b>3481</b>	<b>2520000</b>	<b>2105322</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>							
<b>(1) Promoter and Promoter Group</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>(2) Public</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)+(C)</b>	<b>3481</b>	<b>2520000</b>	<b>2105322</b>	<b>0.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

### Notes:

1. For determining public shareholding for the purpose of Clause 40A
2. For definitions of Promoter and Promoter Group, refer to Clause 40A.
3. Public shareholding

M V Rao  
Compliance Officer

