

## Shareholding Pattern

## B.N.RATHI SECURITIES LTD.

Security Code : 523019  
Date Begin : 01 Jul 2015

Quarter Ending : September 2015  
Date End : 30 Sep 2015

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company		
<b>Total</b>	<b>2520000</b>	<b>100.00</b>	<b>100.00</b>		
held by public	1365523	54.19	54.19		
Held by promoter/promoter group	1154477	45.81	45.81		
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of the convertible securities		
Held by promoter/promoter group	0	0.00	0.00		
held by public	0	0.00	0.00		
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
Warrants	No. of warrant	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants		
Held by promoter/promoter group	0	0.00	0.00		
held by public	0	0.00	0.00		
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>Total Paid-up capital of the company assuming full conversion of warrants and convertible securities</b>		<b>2520000</b>			

Category of Shareholder	No. of Shareholders	Total No. of Shares	Total No. of Shares held in Dematerialized Form	Total Shareholding as a % of Total No. of Shares		Shares pledged or otherwise encumbered	Number of shares	As a % of Total No. of Shares
				As a % of (A+B)	As a % of (A+B+C)			
<b>(A) Shareholding of Promoter and Promoter Group</b>								
<b>(1) Indian</b>								
Individuals / Hindu Undivided Family	4	1154477	1154477	45.81	45.81	0	0.00	
<b>Sub Total</b>	<b>4</b>	<b>1154477</b>	<b>1154477</b>	<b>45.81</b>	<b>45.81</b>	<b>0</b>	<b>0.00</b>	
<b>(2) Foreign</b>								
<b>Total shareholding of Promoter and Promoter Group (A)</b>	<b>4</b>	<b>1154477</b>	<b>1154477</b>	<b>45.81</b>	<b>45.81</b>	<b>0</b>	<b>0.00</b>	
<b>(B) Public Shareholding</b>								
<b>(1) Institutions</b>								
<b>Any Others (Specify)</b>	<b>12</b>	<b>5259</b>	<b>5259</b>	<b>0.21</b>	<b>0.21</b>	<b>0</b>	<b>0.00</b>	
Non Resident Indians	7	3329	3329	0.13	0.13	0	0.00	
Clearing Members	5	1930	1930	0.08	0.08	0	0.00	

<b>Sub Total</b>	<b>12</b>	<b>5259</b>	<b>5259</b>	<b>0.21</b>	<b>0.21</b>	<b>0</b>	<b>0.00</b>
<b>(2) Non-Institutions</b>							
Bodies Corporate	68	202607	201057	8.04	8.04	0	0.00
<b>Individuals</b>							
Individual shareholders holding nominal share capital up to Rs. 1 lakh	3736	848029	489077	33.65	33.65	0	0.00
Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	11	309628	262778	12.29	12.29	0	0.00
<b>Sub Total</b>	<b>3815</b>	<b>1360264</b>	<b>952912</b>	<b>53.98</b>	<b>53.98</b>	<b>0</b>	<b>0.00</b>
<b>Total Public shareholding (B)</b>	<b>3827</b>	<b>1365523</b>	<b>958171</b>	<b>54.19</b>	<b>54.19</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)</b>	<b>3831</b>	<b>2520000</b>	<b>2112648</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>							
<b>(1) Promoter and Promoter Group</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>(2) Public</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)+(C)</b>	<b>3831</b>	<b>2520000</b>	<b>2112648</b>	<b>0.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

**Notes:**

1. For determining public shareholding for the purpose of Clause 40A
2. For definitions of Promoter and Promoter Group, refer to Clause 40A.
3. Public shareholding



## Shareholding Pattern

## B N RATHI SECURITIES LTD

Scrip Code : 523019

Quarter ending : September 2015

## Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category Promoter and Promoter Group

Sl.No.	Name of the Shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		No. of Shares held	As a % of grand total (A)+(B)+(C)	No	As a percentage	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
1	HARI NARAYAN RATHI	7,85,745	31.18	0	0.00	0.00	0	0.00	0	0.00	31.18
2	CHETAN RATHI	1,74,267	6.92	0	0.00	0.00	0	0.00	0	0.00	6.92
3	CHANDA DEVI RATHI	1,31,203	5.21	0	0.00	0.00	0	0.00	0	0.00	5.21
4	NISHA RATHI	63,262	2.51	0	0.00	0.00	0	0.00	0	0.00	2.51
	<b>Total</b>	<b>11,54,477</b>	<b>45.81</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>45.81</b>

(\*) The term encumbrance has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



## Shareholding Pattern

### B N RATHI SECURITIES LTD

Scrip Code : 523019

Quarter ending : September 2015

Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

Sl. No.	Name of the Shareholder	No. of Shares held	Shares as % of Total No. of Shares	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIRMAN SHARE BROKERS PRIVATE LIMITED	70299	2.79	0	0.00	0	0.00	2.79
2	VALLAB DASS RAMANI	51139	2.03	0	0.00	0	0.00	2.03
3	SANDEEP STOCKS PRIVATE LIMITED	47268	1.88	0	0.00	0	0.00	1.88
4	NEETA RATHI	46850	1.86	0	0.00	0	0.00	1.86
5	PREETHI BIYANI	37400	1.48	0	0.00	0	0.00	1.48
6	CH RAVI KUMAR	31000	1.23	0	0.00	0	0.00	1.23
7	BASANTI DEVI RATHI	30000	1.19	0	0.00	0	0.00	1.19
8	VEENA GOVINDNARAYAN MUNDRA	30000	1.19	0	0.00	0	0.00	1.19
	<b>Total</b>	<b>343956</b>	<b>13.65</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>13.65</b>



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : September 2015**

**Shareholding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : September 2015**

**Details of Locked-in Shares**



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : September 2015**

**Details of Depository Receipts (DRs)**



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : September 2015**

**Holding of Depository Receipts (DRs), where underlying shares held by 'promoter / promoter group' are in excess of 1% of the total number of shares.**





## Shareholding Pattern

### B N RATHI SECURITIES LTD

**Scrip Code :** 523019

**Quarter ending :** September 2015

**Details of disclosure made by the Trading Members holding 1% or more of the Total No. of shares of the company.**

Sl. No.	Name of the Trading Member	Name of the Beneficial Owner	No. of shares held	% of total no. of shares	Date of reporting by the Trading Member
-	NIL	NIL	NIL	NIL	NIL