B N Rathi Securities Limited

Policy for voluntary freezing/blocking the online access of the trading account

Dear Client,

This is with reference to SEBI Circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and Exchange Circular no. NSE/INSP/60277 dated January 16, 2024 on the subject "Ease of Doing Investments by Investors - Facility of voluntary freezing/ blocking of Trading Accounts by Clients".

- Two modes of the following communications through which the client may request for voluntary freezing/ blocking the online access of trading account if any suspicious activity is observed in the trading account:
 - a. Email from registered e-mail ID to stoptrade@bnrathi.com
 - b. Trading Member's App
- 2. Validated request received from the client will be freeze/block the online access of the client's trading account and simultaneously cancel all the pending orders of the said client.
- 3. Post freezing/blocking the client's trading account, communication will be sent on the registered mobile number and registered e -mail ID of the client, stating that the online access to the trading account has been frozen/blocked and all the pending orders in the client's trading account, if any, have been cancelled.
- 4. The process of re-enablement for getting the online access to the trading account will be done through KYC team.
- 5. Details of open positions (if any) will be communicated to the client along with contract expiry information within one hour from the freezing/blocking of the trading account.

For any further support, please reach KYC and RMS to teams for timely service for the above service.