

## Shareholding Pattern

## B.N.RATHI SECURITIES LTD.

Security Code : 523019  
Date Begin : 01 Apr 2015

Quarter Ending : June 2015  
Date End : 30 Jun 2015

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
held by public	0	0.00	0.00
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of warrant	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Paid-up capital of the company assuming full conversion of warrants and convertible securities</b>		<b>2520000</b>	

Category of Shareholder	No. of Shareholders	Total No. of Shares	Total No. of Shares held in Dematerialized Form	Total Shareholding as a % of Total No. of Shares		Shares pledged or otherwise encumbered	
				As a % of (A+B)	As a % of (A+B+C)	Number of shares	As a % of Total No. of Shares
<b>(A) Shareholding of Promoter and Promoter Group</b>							
<b>(1) Indian</b>							
Individuals / Hindu Undivided Family	4	1154477	1154477	45.81	45.81	0	0.00
<b>Sub Total</b>	<b>4</b>	<b>1154477</b>	<b>1154477</b>	<b>45.81</b>	<b>45.81</b>	<b>0</b>	<b>0.00</b>
<b>(2) Foreign</b>							
<b>Total shareholding of Promoter and Promoter Group (A)</b>	<b>4</b>	<b>1154477</b>	<b>1154477</b>	<b>45.81</b>	<b>45.81</b>	<b>0</b>	<b>0.00</b>
<b>(B) Public Shareholding</b>							
<b>(1) Institutions</b>							
<b>(2) Non-Institutions</b>							
Bodies Corporate	65	210561	209011	8.36	8.36	0	0.00
<b>Individuals</b>							

Individual shareholders holding nominal share capital up to Rs. 1 lakh	3704	847353	485901	33.63	33.63	0	0.00
Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	10	299679	252829	11.89	11.89	0	0.00
<b>Any Others (Specify)</b>	<b>13</b>	<b>7930</b>	<b>7930</b>	<b>0.31</b>	<b>0.31</b>	<b>0</b>	<b>0.00</b>
Clearing Members	6	4601	4601	0.18	0.18	0	0.00
Non Resident Indians	7	3329	3329	0.13	0.13	0	0.00
<b>Sub Total</b>	<b>3792</b>	<b>1365523</b>	<b>955671</b>	<b>54.19</b>	<b>54.19</b>	<b>0</b>	<b>0.00</b>
<b>Total Public shareholding (B)</b>	<b>3792</b>	<b>1365523</b>	<b>955671</b>	<b>54.19</b>	<b>54.19</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)</b>	<b>3796</b>	<b>2520000</b>	<b>2110148</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
(1) Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
(2) Public	0	0	0	0.00	0.00	0	0.00
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>Total (A)+(B)+(C)</b>	<b>3796</b>	<b>2520000</b>	<b>2110148</b>	<b>0.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

**Notes:**

1. For determining public shareholding for the purpose of Clause 40A
2. For definitions of Promoter and Promoter Group, refer to Clause 40A.
3. Public shareholding



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

Scrip Code : 523019

Quarter ending : June 2015

### Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category Promoter and Promoter Group

Sl.No.	Name of the Shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held	As a % of grand total (A)+(B)+(C)	No	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	HARI NARAYAN RATHI	7,85,745	31.18	0	0.00	0.00	0	0.00	0	0.00	0.00
2	CHETAN RATHI	1,74,267	6.92	0	0.00	0.00	0	0.00	0	0.00	0.00
3	CHANDA DEVI RATHI	1,31,203	5.21	0	0.00	0.00	0	0.00	0	0.00	0.00
4	NISHA RATHI	63,262	2.51	0	0.00	0.00	0	0.00	0	0.00	0.00
	<b>Total</b>	<b>11,54,477</b>	<b>45.81</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>

(\*) The term encumbrance has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



## Shareholding Pattern

### B N RATHI SECURITIES LTD

Scrip Code : 523019

Quarter ending : June 2015

Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

Sl. No.	Name of the Shareholder	No. of Shares held	Shares as % of Total No. of Shares	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIRMAN STOCK BROKERS PVT LTD	72370	2.87	0	0.00	0	0.00	2.87
2	VALLAB DASS RAMANI	51139	2.03	0	0.00	0	0.00	0.00
3	SANDEEP STOCKS PRIVATE LIMITED	47268	1.88	0	0.00	0	0.00	0.00
4	NEETA RATHI	46850	1.86	0	0.00	0	0.00	0.00
5	PREETI BIYANI	38400	1.52	0	0.00	0	0.00	0.00
6	CH RAVI KUMAR	30000	1.19	0	0.00	0	0.00	0.00
7	VEENA GOVINDNARAYAN MUNDARA	30000	1.19	0	0.00	0	0.00	0.00
8	BASANTI DEVI RATHI	30000	1.19	0	0.00	0	0.00	0.00
	<b>Total</b>	<b>346027</b>	<b>13.73</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : June 2015**

**Shareholding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : June 2015**

**Details of Locked-in Shares**



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : June 2015**

**Details of disclosure made by the Trading Members holding 1% or more of the Total No. of shares of the company.**

Sl. No.	Name of the Trading Member	Name of the Beneficial Owner	No. of shares held	% of total no. of shares	Date of reporting by the Trading Member
1	NIRMAN SHARE BROKERS PVT.LTD.	KESHAV CHANDRA JAIN	39,750	1.58	01/09/2015
2	NIRMAN SHARE BROKERS PVT.LTD.	ABHISHEK JAIN	27,629	1.10	01/09/2015
3	NIRMAN SHARE BROKERS PVT.LTD.	5 client/s (individual holding less than 1%)	4,991	0.20	01/09/2015



## Shareholding Pattern

**B N RATHI SECURITIES LTD**

**Scrip Code : 523019**

**Quarter ending : June 2015**

**Holding of Depository Receipts (DRs), where underlying shares held by 'promoter / promoter group' are in excess of 1% of the total number of shares.**